

### Draft Audit and Governance Committee Work Plan

Indicative business	Format	Service area	Accountable Officer
<b>10 August 2023</b>			
Internal Audit Annual Opinion 2022/23	Report	SWAP Internal Audit	Chief Finance Officer
Statement of Accounts 2022/23 – Update and Accounting Policies	Report	Finance	Chief Finance Officer
Statement of Accounts 2022/23	Report	Finance	Chief Finance Officer
Annual Governance Statement 2022/23	Report	Finance	Chief Finance Officer
External Audit Annual Report 2021/22	Report	Grant Thornton (External Audit)	Director of Finance
<b>28 September 2023</b>			
Internal Audit Progress Report	Report	SWAP Internal Audit	Director of Finance
Counter Fraud Enforcement Unit Update Report	Report	Counter Fraud and Enforcement Unit	Head of Service, Counter Fraud and Enforcement Unit
Counter Fraud and Enforcement Unit Fraud Risk Strategy	Report	Counter Fraud and Enforcement Unit	Head of Service, Counter Fraud and Enforcement Unit
Corporate Risk Register Update	Report	Corporate Responsibility	Business Manager - Business Continuity, Governance and Risk
Annual Summary of Member Conduct Complaints	Report	Democratic Services	Director of Governance
Section 106 Update	Report	Communities	Assistant Director - Communities
Impacts of voter ID	Report	Democratic Services	Chief Executive (Returning Officer)
Audit Progress Report and Sector Update	Report	Grant Thornton (External Audit)	Director of Finance
<b>23 November 2023</b>			
Internal Audit Progress Report	Report	SWAP Internal Audit	Director of Finance
Corporate Risk Register Update	Report	Corporate Responsibility	Business Manager - Business Continuity, Governance and Risk
Treasury Management Mid-Term Report	Report	Finance	Director of Finance
Treasury Management Strategy	Report	Finance	Director of Finance

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Monitoring Report on Management's responses to the External Auditors findings and implementation of External Audit recommendations	Report	Finance	Director of Finance
Cyber Security Update	Report / Verbal Update	ICT	Assistant Director – Business Services
Audit Progress Report and Sector Update	Report	Grant Thornton (External Audit)	Director of Finance
Audit Plan - for year ending 31 March 2023	Report	Grant Thornton (External Audit)	Director of Finance
<b>19 March 2024</b>			
Counter Fraud and Enforcement Unit Update Report (RIPA and IPA Annual Update)	Report	Counter Fraud and Enforcement Unit	Head of Service, Counter Fraud and Enforcement Unit
Proceeds of Crime and Anti Money Laundering Policy	Report	Counter Fraud and Enforcement Unit	Head of Service, Counter Fraud and Enforcement Unit
Corporate Risk Register Update	Report	Corporate Responsibility	Business Manager - Business Continuity, Governance and Risk
Risk Management Policy	Report	Corporate Responsibility	Business Manager - Business Continuity, Governance and Risk
Audit Findings Report – year ending 31 March 2023	Report	Grant Thornton (External Audit)	Director of Finance
Provisional Member Induction and Training Programme	Report	Democratic Services	Director of Governance
Internal Audit Progress Report	Report	SWAP Internal Audit	Director of Finance
Internal Audit Plan and Internal Audit Charter 2024/25	Report	SWAP Internal Audit	Director of Finance